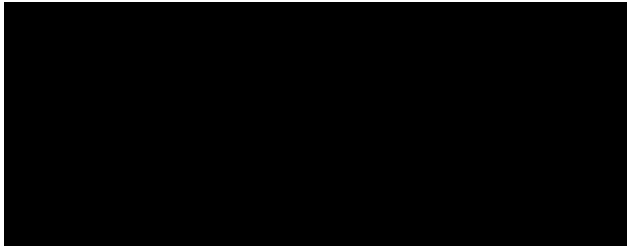


██████████ 2021



Dear ██████████

Official Information Act #21.015, 21.015, 21.017 – Compliance Advice and Warning Letters

1. We refer to your requests received on 9 August 2021 for:
 - 1.1 The number of entities/persons that that the Commerce Commission (**Commission**) has issued a compliance advice letter (**CAL**) to in relation to section 30 of the Commerce Act 1986 since 1 January 2017 to the present date (OIA 21.015);
 - 1.2 The number of entities/persons that the Commission has issued a warning letter to in relation to section 30 of the Commerce Act 1986 since 1 January 2017 to the present date (OIA 21.016); and
 - 1.3 Copies of any compliance advice letters sent in relation to section 30 of the Commerce Act 1986 since 1 January 2017 to the present date (with confidential details such as party name or specific industry redacted) (OIA 21.017).
2. We have treated these as requests for information under the Official Information Act 1982 (**OIA**).

Our response

3. We have decided to grant your requests.
4. In response to OIA 21.015, the Commission has issued 13 CALs in relation to section 30 of the Commerce Act 1986 since 1 January 2017 to 31 August 2021.
5. In response to OIA 21.016, the Commission has issued 17 warning letters in relation to section 30 of the Commerce Act 1986 since 1 January 2017 to 31 August 2021.

6. In response to OIA 21.017, we have provided a list of the 13 CALs at **Appendix A**, and have provided a box.com link that contains copies of the 13 CALs.
7. We have redacted personal details, party details and specific industry details as requested.
8. If you are not satisfied with the Commission's response to your OIA request, section 28(3) of the OIA provides you with the right to ask an Ombudsman to investigate and review this response. However, we would welcome the opportunity to discuss any concerns with you first.
9. Please note the Commission will be publishing this response to your request on its website.
10. Please do not hesitate to contact us at uia@comcom.govt.nz if you have any questions about this request.

Yours sincerely



Alexandra Murray
OIA and Information Coordinator

Appendix A

Project	CAL ID
Project 1	<ul style="list-style-type: none">• Project 1, CAL 1• Project 1, CAL 2
Project 2	<ul style="list-style-type: none">• Project 2, CAL 1• Project 2, CAL 2
Project 3	<ul style="list-style-type: none">• Project 3, CAL 1• Project 3, CAL 2• Project 3, CAL 3
Project 4	<ul style="list-style-type: none">• Project 4, CAL 1• Project 4, CAL 2• Project 4, CAL 3• Project 4, CAL 4• Project 4, CAL 5• Project 4, CAL 6