

23 April 2021



By email only: 

Dear 

Official Information Act #20.060 - Commerce Commission Investigations

1. We refer to your request received on 16 September 2020 for:
 - 1.1 The following information about every Commerce Act 1986 (CA) investigation¹ conducted by the Commerce Commission (Commission) over the last five financial years (FY16 - FY20):
 - 1.1.1 the year the investigation began;
 - 1.1.2 the industry/sector investigated;
 - 1.1.3 the CA provisions involved in the investigation;
 - 1.1.4 whether the Commission issued s98 notice(s) during the investigation;
and
 - 1.1.5 the investigation outcome.
 - 1.2 The following information about every Fair Trading Act 1986, CA,² and Credit Contracts and Consumer Finance Act 2003 investigation conducted by the Commission from 2016 to 2020 (YTD):

¹ You have defined a Commerce Act Investigation as any distinct matter where the Commission has requested information from a third party or third parties to assess the Commerce Act compliance of particular conduct. The definition is designed to cover, for instance, any "informal inquiries" the Commission's merger team has made into a published deal, regardless of whether the matter was formally lodged as a s47 investigation on the Commission's website.

² Excluding clearance and authorisation applications.

- 1.2.1 the number of investigations completed (as measured in the Commission's "performance update");
 - 1.2.2 the number of "no further action" letters sent to investigated parties;
 - 1.2.3 the number of compliance advice and/or warning letters sent to investigated parties; and
 - 1.2.4 the number of enforcement proceedings filed against investigated parties.
- 1.3 The following information about certain CA investigations conducted by the Commission from 2016 to 2020 (YTD):
 - 1.3.1 the number of leniency applications received under the Cartel Leniency Policy;
 - 1.3.2 the number of organisations or people granted leniency under the Cartel Leniency Policy;
 - 1.3.3 the number of s98A search warrants applied for; and
 - 1.3.4 the number of s98A search warrants issued and executed.
2. On 22 September 2020, in response to our email of the same date, you clarified:
 - 2.1 the time period for paragraphs [1.2] and [1.3] of your request is by financial year; and
 - 2.2 your definition of investigation (at footnote 1) includes open and closed matters and applies to all paragraphs of your request.
3. As you are aware, we have treated your request as a request for information under the Official Information Act 1982 (OIA).
4. On 15 October 2020, we extended the deadline to make a decision on your request to 27 November 2020.
5. On 27 November 2020, we communicated our decision to grant your request and released to the information falling within the scope of paragraph [1.3] of your request.³ We advised we would provide the remaining information without undue delay.

³ https://comcom.govt.nz/_data/assets/pdf_file/0030/228990/OIA-20.060-Commission-Investigations-First-Response-Letter-27-November-2020.pdf

Our response

Paragraph [1.1]

6. The information falling within the scope of paragraph [1.1] of your request is contained in **Attachment A** to this letter.
7. We note that the process of preparing the information for release has been complex and time consuming. This is partly because our internal definition of 'investigation' differs from your definition (at footnote 1 and paragraph [2.2]). The details of the various matters that meet your definition of an investigation are not recorded in one place.
8. We also note that Attachment A contains information about investigations that were closed as at 1 April 2021. We will provide information about our open investigations without undue delay.
9. Please note that the information contained in Attachment A excludes:
 - 9.1 for investigations into potential contraventions of section 47 of the CA, matters that were not internally recorded as a surveillance matter (i.e. they have been categorised as a more informal enquiry).
 - 9.2 for cartels, matters which did not involve a formal investigation being opened.
 - 9.3 for investigations under sections 27, 30, and 36 of the CA, we have not provided the industry/sector in conjunction with the other information relating to the investigations, as we consider releasing the information in this way would be likely to unreasonably prejudice the commercial position of the subject of the information under section 9(2)(b)(ii) of the OIA. Instead, we have provided this information in summary form at **Appendix A** to this letter, pursuant to section 16(1)(e) of the OIA.
10. We consider that good reason exists for withholding this information and it is not outweighed by other considerations which would make it desirable, in the public interest, to make the information available (section 9(1) of the OIA).
11. We are happy to discuss the information described under [9.1] and [9.2] above. However, please note that while we are obliged to provide information without undue delay, reviewing and preparing this information for potential release will take us a significant amount of time and resource.
12. In respect of the information provided at Attachment A, please note that:
 - 12.1 Some investigations have been allocated industry/sector classifications by the relevant investigations teams. For the investigations that did not already have

an allocated industry/sector classification, we have used the New Zealand Standard Industry Output Categories (NZSIOC).^{4/5}

12.2 We have not included information about investigations under sections 66 and 67 of the CA, as these are public and can be found on our case register.

12.3 Information in respect of investigations into potential contraventions of section 47 of the CA has been delineated between:

12.3.1 “s 47” where a formal investigation has been opened; and

12.3.2 “s 47 - surveillance” where the Commission has made third party enquiries into a transaction, but a formal investigation was not opened.

13. Below is the explanation for the investigation outcomes.⁶

Outcome	Explanation
Authorised	Authorisation granted under the relevant section of the CA
Compliance Advice	Compliance advice issued to party ⁷
Judgment	Judgment of the Court
Judgment (Following Settlement)	Judgment of the Court following settlement with the party
Litigation Discontinued	Proceedings against the party discontinued
NFA	No Further Action ⁸
Settlement	Settlement with the party
Warning	Warning issued to party ⁹

⁴ http://infoshare.stats.govt.nz/browse_for_stats/industry_sectors/anzsic06-industry-classification/background-info/nzsioc.aspx#gsc.tab=0

⁵ These are indicated by ‘Refer to Appendix A’ in the Industry/Sector column contained in Attachment A.

⁶ Please refer to the Commission’s Enforcement Response Guidelines for more information: https://comcom.govt.nz/_data/assets/pdf_file/0030/62589/Enforcement-Response-Guidelines-October-2013.pdf

⁷ Page 7, Commission’s Enforcement Response Guidelines.

⁸ Page 7, Commission’s Enforcement Response Guidelines.

⁹ Page 8, Commission’s Enforcement Response Guidelines.

Paragraph [1.2]

14. The information falling within the scope of paragraph [1.2] of your request is below.¹⁰

	Number of investigations completed (as measured in the Commission's "performance update")	Number of "no further action" letters sent to investigated parties	Number of compliance advice ¹¹ and/or warning letters sent to investigated parties	Number of enforcement proceedings filed against investigated parties
Fair Trading Act 1986¹²	2016: 344 2017: 343 2018: 309 2019: 320 2020: 447	2016: 102 2017: 60 2018: 56 2019: 59 2020: 61	2016: 205 2017: 231 2018: 219 2019: 230 2020: 331	2016: 14 2017: 12 2018: 21 2019: 21 2020: 14
Commerce Act 1986 (excluding clearance and authorisation applications)¹³	2016: 9 2017: 8 2018: 13 2019: 14 2020: 12	2016: 7 2017: 2 2018: 9 2019: 12 2020: 6	2016: ⁶ 1 2017: ⁶ 4 2018: ⁶ 2 2019: ⁶ 2 2020: ⁶ 1	2016: 4 2017: 0 2018: 4 2019: 2 2020: ¹ 3
Credit Contracts and Consumer Finance Act 2003	2016: 56 2017: 53 2018: 131 2019: 63 2020: 58	2016: 10 2017: 12 2018: 48 2019: 1 2020: 5	2016: 40 2017: 29 2018: 65 2019: 48 2020: 47	2016: 6 2017: 7 2018: 5 2019: 2 2020: 5

¹⁰ As of 16 December 2020.

¹¹ The number of Compliance Advice letter outcomes is inclusive of the number of Information Provided to Traders letter outcomes, as they are encompassed in the Compliance Advice outcome in our response guidelines and in previous performance updates, these were classified as Compliance Advice letters.

¹² Note the Fair Trading Act 1986 (FTA) figures include those investigations separately disclosed in the annual report as "product safety and information standards" which are also enforced under the FTA.

¹³ This figure includes the number of investigations where the outcome was a Compliance Advice letter. The exact number of Compliance Advice letters sent varies as multiple parties may be issued Compliance Advice letters on a single investigation.

Paragraph [1.3]

15. This information has been released in our letter dated 27 November 2020.¹⁴

Further information

16. If you are not satisfied with the Commission's response to your OIA request, section 28(3) of the OIA provides you with the right to ask an Ombudsman to investigate and review this response. However, we would welcome the opportunity to discuss any concerns with you first.
17. Please note the Commission will be publishing this response to your request in the OIA register on our website.¹⁵ Your personal details will be redacted from the published response.
18. Please do not hesitate to contact us at uia@comcom.govt.nz if you have any questions about this request.

Yours sincerely

H.R. Wadhwa

Hilda Wadhwa
For
Mary Sheppard
OIA Coordinator

¹⁴ https://comcom.govt.nz/data/assets/pdf_file/0030/228990/OIA-20.060-Commission-Investigations-First-Response-Letter-27-November-2020.pdf

¹⁵ <https://comcom.govt.nz/about-us/requesting-official-information/oia-register>

Appendix A - Industry/sector for investigations under sections 27,30, and 36 of the CA	
Industry/Sector	Number of investigations
AA1 - Agriculture	4
CC1 - Food, Beverage and Tobacco Product Manufacturing	2
CC3 - Wood and Paper Products Manufacturing	1
CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	1
CC7 - Metal Product Manufacturing	1
DD1 - Electricity, Gas, Water and Waste Services	4
EE1 - Building construction	3
GH1 - Retail trade	1
GH2 - Accommodation and Food Services	1
II1 - Transport, Postal and Warehousing	5
KK1 - Finance and Insurance Services	3
LL1 - Rental, Hiring and Real Estate Services	5
QQ1 - Health Care and Social Assistance	2
RS2 - Other Services	4

Year Investigation Began	Financial Year Decided	Industry/Sector	Commerce Act provisions	Section 98s Issued?	Outcome
2015	2015/2016	CC2 - Textile, Leather, Clothing and Footwear Manufacturing	s 47	No	NFA
2015	2015/2016	EE1 - Construction	s47 - surveillance	No	NFA
2015	2015/2016	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2015	2015/2016	KK1 - Financial and insurance services	s47 - surveillance	No	NFA
2015	2015/2016	GH1 - Retail trade	s47 - surveillance	No	NFA
2015	2015/2016	QQ1 - Health Care and Social Assistance	s47 - surveillance	No	NFA
2015	2015/2016	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2015	2015/2016	CC1 - Food, Beverage and Tobacco Product Manufacturing	s47 - surveillance	No	NFA
2016	2015/2016	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2016	2015/2016	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2016	2015/2016	QQ1 - Health Care and Social Assistance	s47 - surveillance	No	NFA
2016	2015/2016	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2016	2015/2016	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2016	2015/2016	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2016	2015/2016	BB1 - Mining	s47 - surveillance	No	NFA
2016	2015/2016	CC7 - Metal Product Manufacturing	s47 - surveillance	No	NFA
2016	2015/2016	CC7 - Metal Product Manufacturing	s47 - surveillance	No	NFA
2016	2015/2016	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2016	2015/2016	GH1 - Retail Trade	s47 - surveillance	No	NFA
2016	2015/2016	CC4 - Printing	s47 - surveillance	No	NFA
2016	2015/2016	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2016	2015/2016	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2016	2015/2016	CC9 - Furniture and Other Manufacturing	s47 - surveillance	No	NFA
2014	2015/2016	Refer to Appendix A	s 30	Yes	Warning
2013	2015/2016	Refer to Appendix A	s 30	Yes	NFA
2015	2015/2016	Refer to Appendix A	s 30	No	NFA
2016	2015/2016	Refer to Appendix A	s 30	No	NFA
2014	2015/2016	Refer to Appendix A	s 27	No	NFA
2015	2015/2016	Refer to Appendix A	s 36	No	NFA
2016	2015/2016	Refer to Appendix A	s 36	No	NFA
2013	2015/2016	Refer to Appendix A	s 30	Yes	Judgment (Following Settlement)
2017	2016/2017	Professional services	s 47	Yes	NFA
2016	2016/2017	RS2 - Other Services	s 58	No	Authorised
2016	2016/2017	DD1 - Electricity, Gas, Water and Waste Services	s 58	No	Authorised
2016	2016/2017	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2016	2016/2017	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2016	2016/2017	GH1 - Retail trade	s47 - surveillance	No	NFA
2016	2016/2017	CC1 - Food, Beverage and Tobacco Product Manufacturing	s47 - surveillance	No	NFA
2016	2016/2017	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2016	2016/2017	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2016	2016/2017	PP1 - Education and Training	s47 - surveillance	No	NFA
2016	2016/2017	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2016	2016/2017	CC1 - Food, Beverage and Tobacco Product Manufacturing	s47 - surveillance	No	NFA
2016	2016/2017	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2016	2016/2017	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2016	2016/2017	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2016	2016/2017	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2016	2016/2017	DD1 - Electricity, Gas, Water and Waste Services	s47 - surveillance	No	NFA
2016	2016/2017	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2017	2016/2017	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2017	2016/2017	KK1 - Financial and Insurance Services	s47 - surveillance	No	NFA
2017	2016/2017	EE1 - Construction	s47 - surveillance	No	NFA

2017	2016/2017	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2017	2016/2017	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2017	2016/2017	DD1 - Electricity, Gas, Water and Waste Services	s47 - surveillance	No	NFA
2017	2016/2017	Fleet leasing	s47 - surveillance	No	NFA
2015	2016/2017	Refer to Appendix A	s 36	No	Compliance Advice
2014	2016/2017	Refer to Appendix A	s 30	Yes	Judgment (Following Settlement)
2015	2016/2017	Refer to Appendix A	s 30	Yes	Judgment (Following Settlement)
2016	2016/2017	Refer to Appendix A	s 30	Yes	Compliance Advice
2016	2016/2017	Refer to Appendix A	s 30	No	Compliance Advice
2015	2016/2017	Refer to Appendix A	s 27, s 30	No	NFA
2016	2016/2017	Refer to Appendix A	s 27, s 30	No	Warning
2017	2017/2018	AA1 - Agriculture	s 47	No	NFA
2017	2017/2018	II1 - Transport, Postal and Warehousing	s 47	No	Litigation Discontinued
2017	2017/2018	GH1 - Retail Trade	s 47	Yes	Litigation Discontinued
2017	2017/2018	CC3 - Wood and Paper Products Manufacturing	s 47	No	NFA
2017	2017/2018	FF1 - Wholesale Trade	s 47	No	NFA
2017	2017/2018	Professional Services	s 47	No	NFA
2017	2017/2018	DD1 - Electricity, Gas, Water and Waste Services	s 27, s 47	No	Judgment
2017	2017/2018	AA1 - Agriculture	s 58	No	Authorised
2017	2017/2018	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2017	2017/2018	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2017	2017/2018	CC1 - Food, Beverage and Tobacco Product Manufacturing	s47 - surveillance	No	NFA
2017	2017/2018	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2017	2017/2018	AA1 - Agriculture	s47 - surveillance	No	NFA
2017	2017/2018	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2017	2017/2018	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2017	2017/2018	AA1 - Agriculture	s47 - surveillance	No	NFA
2017	2017/2018	AA1 - Agriculture	s47 - surveillance	No	NFA
2017	2017/2018	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2017	2017/2018	KK1 - Financial and Insurance Services	s47 - surveillance	No	NFA
2017	2017/2018	AA1 - Agriculture	s47 - surveillance	No	NFA
2017	2017/2018	LL1 - Rental, Hiring and Real Estate Services	s47 - surveillance	No	NFA
2017	2017/2018	EE1 - Construction	s47 - surveillance	No	NFA
2017	2017/2018	CC7 - Metal Product Manufacturing	s47 - surveillance	No	NFA
2017	2017/2018	CC8 - Transport Equipment, Machinery and Equipment Manufacturing	s47 - surveillance	No	NFA
2017	2017/2018	QQ1 - Health Care and Social Assistance	s47 - surveillance	No	NFA
2017	2017/2018	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2017	2017/2018	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2017	2017/2018	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2017	2017/2018	CC2 - Textile, Leather, Clothing and Footwear Manufacturing	s47 - surveillance	No	NFA
2017	2017/2018	KK1 - Financial and Insurance Services	s47 - surveillance	No	NFA
2017	2017/2018	KK1 - Financial and Insurance Services	s47 - surveillance	No	NFA
2017	2017/2018	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2018	2017/2018	GH1 - Retail Trade	s47 - surveillance	No	NFA
2018	2017/2018	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2018	2017/2018	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2018	2017/2018	FF1 - Wholesale Trade	s47 - surveillance	No	NFA
2018	2017/2018	AA1 - Agriculture	s47 - surveillance	No	NFA
2018	2017/2018	CC8 - Transport Equipment, Machinery and Equipment Manufacturing	s47 - surveillance	No	NFA
2018	2017/2018	CC2 - Textile, Leather, Clothing and Footwear Manufacturing	s47 - surveillance	No	NFA
2018	2017/2018	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2018	2017/2018	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2018	2017/2018	CC8 - Transport Equipment, Machinery and Equipment Manufacturing	s47 - surveillance	No	NFA
2018	2017/2018	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA

2017	2017/2018	Refer to Appendix A	s 30	No	NFA
2012	2017/2018	Refer to Appendix A	s 30	Yes	Judgment
2017	2017/2018	Refer to Appendix A	s 30	Yes	NFA
2017	2017/2018	Refer to Appendix A	s 30	Yes	NFA
2016	2017/2018	Refer to Appendix A	s 30	No	NFA
2016	2017/2018	Refer to Appendix A	s 30	Yes	Warning
2016	2017/2018	Refer to Appendix A	s 30	Yes	Warning
2016	2017/2018	Refer to Appendix A	s 30	Yes	NFA
2019	2018/2019	GH1 - Retail Trade	s 47	No	NFA
2017	2018/2019	II1 - Transport, Postal and Warehousing	s 47	Yes	Settlement
2018	2018/2019	Construction	s 47	No	NFA
2018	2018/2019	Agriculture, forestry, and fishing	s 47	No	NFA
2019	2018/2019	Manufacturing	s 47	No	NFA
2018	2018/2019	CC1 - Food, Beverage and Tobacco Product Manufacturing	s 58	No	Authorised
2018	2018/2019	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2018	2018/2019	QQ1 - Health Care and Social Assistance	s47 - surveillance	No	NFA
2018	2018/2019	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2018	2018/2019	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2018	2018/2019	DD1 - Electricity, Gas, Water and Waste Services	s47 - surveillance	No	NFA
2018	2018/2019	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2018	2018/2019	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2018	2018/2019	CC1 - Food, Beverage and Tobacco Product Manufacturing	s47 - surveillance	No	NFA
2018	2018/2019	DD1 - Electricity, Gas, Water and Waste Services	s47 - surveillance	No	NFA
2018	2018/2019	KK1 - Finance and Insurance Services	s47 - surveillance	No	NFA
2018	2018/2019	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2018	2018/2019	CC7 - Metal Product Manufacturing	s47 - surveillance	No	NFA
2018	2018/2019	AA1 - Agriculture	s47 - surveillance	No	NFA
2018	2018/2019	CC1 - Food, Beverage and Tobacco Product Manufacturing	s47 - surveillance	No	NFA
2018	2018/2019	CC7 - Metal Product Manufacturing	s47 - surveillance	No	NFA
2018	2018/2019	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2018	2018/2019	DD1 - Electricity, Gas, Water and Waste Services	s47 - surveillance	No	NFA
2019	2018/2019	PP1 - Education and Training	s47 - surveillance	No	NFA
2019	2018/2019	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2019	2018/2019	KK1 - Finance and Insurance Services	s47 - surveillance	No	NFA
2019	2018/2019	KK1 - Finance and Insurance Services	s47 - surveillance	No	NFA
2019	2018/2019	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2019	2018/2019	EE1 - Construction	s47 - surveillance	No	NFA
2019	2018/2019	CC1 - Food, Beverage and Tobacco Product Manufacturing	s47 - surveillance	No	NFA
2019	2018/2019	DD1 - Electricity, Gas, Water and Waste Services	s47 - surveillance	No	NFA
2018	2018/2019	Refer to Appendix A	s 36	No	NFA
2018	2018/2019	Refer to Appendix A	s 27	No	NFA
2018	2018/2019	Refer to Appendix A	s 30	Yes	Warning
2018	2018/2019	Refer to Appendix A	s 30	Yes	NFA
2018	2018/2019	Refer to Appendix A	s 30	No	NFA
2018	2018/2019	Refer to Appendix A	s 27, s 30	No	NFA
2018	2018/2019	Refer to Appendix A	s 30	Yes	NFA
2018	2018/2019	Refer to Appendix A	s 27, s 36	no	NFA
2018	2019/2020	QQ1 - Health Care and Social Assistance	s 47	No	NFA
2019	2019/2020	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2019	2019/2020	DD1 - Electricity, Gas, Water and Waste Services	s47 - surveillance	No	NFA
2019	2019/2020	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2019	2019/2020	KK1 - Finance and Insurance Services	s47 - surveillance	No	NFA
2019	2019/2020	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2019	2019/2020	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2019	2019/2020	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA

2019	2019/2020	CC9 - Furniture and Other Manufacturing	s47 - surveillance	No	NFA
2019	2019/2020	GH1 - Retail Trade	s47 - surveillance	No	NFA
2019	2019/2020	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2019	2019/2020	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2019	2019/2020	CC9 - Furniture and Other Manufacturing	s47 - surveillance	No	NFA
2019	2019/2020	CC7 - Metal Product Manufacturing	s47 - surveillance	No	NFA
2019	2019/2020	RS1 - Arts and Recreation Services	s47 - surveillance	No	NFA
2019	2019/2020	EE1 - Construction	s47 - surveillance	No	NFA
2019	2019/2020	RS1 - Arts and Recreation Services	s47 - surveillance	No	NFA
2019	2019/2020	CC1 - Food, Beverage and Tobacco Product Manufacturing	s47 - surveillance	No	NFA
2019	2019/2020	KK1 - Finance and Insurance Services	s47 - surveillance	No	NFA
2020	2019/2020	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2020	2019/2020	QQ1 - Health Care and Social Assistance	s47 - surveillance	No	NFA
2020	2019/2020	CC1 - Food, Beverage and Tobacco Product Manufacturing	s47 - surveillance	No	NFA
2020	2019/2020	RS1 - Arts and Recreation Services	s47 - surveillance	No	NFA
2020	2019/2020	EE1 - Construction	s47 - surveillance	No	NFA
2020	2019/2020	FF1 - Wholesale Trade	s47 - surveillance	No	NFA
2020	2019/2020	QQ1 - Health Care and Social Assistance	s47 - surveillance	No	NFA
2017	2019/2020	Refer to Appendix A	s 30	Yes	Warning
2017	2019/2020	Refer to Appendix A	s 30	No	Judgment
2017	2019/2020	Refer to Appendix A	s 30	Yes	Judgment
2020	2019/2020	Refer to Appendix A	s 30	No	NFA
2019	2019/2020	Refer to Appendix A	s 27, s 30	No	NFA
2020	2020/2021	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2020	2020/2021	QQ1 - Health Care and Social Assistance	s47 - surveillance	No	NFA
2019	2020/2021	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2020	2020/2021	CC8 - Transport Equipment, Machinery and Equipment Manufacturing	s47 - surveillance	No	NFA
2020	2020/2021	KK1 - Finance and Insurance Services	s47 - surveillance	No	NFA
2020	2020/2021	QQ1 - Health Care and Social Assistance	s47 - surveillance	No	NFA
2020	2020/2021	DD1 - Electricity, Gas, Water and Waste Services	s47 - surveillance	No	NFA
2020	2020/2021	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2020	2020/2021	KK1 - Finance and Insurance Services	s47 - surveillance	No	NFA
2020	2020/2021	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2020	2020/2021	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2020	2020/2021	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2020	2020/2021	RS1 - Arts and Recreation Services	s47 - surveillance	No	NFA
2020	2020/2021	EE1 - Construction	s47 - surveillance	No	NFA
2020	2020/2021	RS1 - Arts and recreation services	s47 - surveillance	No	NFA
2020	2020/2021	EE1 - Construction	s47 - surveillance	No	NFA
2021	2020/2021	QQ1 - Health care and Social Assistance	s47 - surveillance	No	NFA
2021	2020/2021	BB1 - Mining	s47 - surveillance	No	NFA
2021	2020/2021	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2020	2020/2021	Refer to Appendix A	s 27, s 30	No	NFA

23 November 2021



Official Information Act #20.060 - Commerce Commission investigations

Active investigations

1. Further to our emails of 1 June and 10 August 2021, in respect of active investigations falling within the scope of your request, could you please advise whether you would prefer to receive information about either the year the investigation began or the industry/sector under investigation (together with the other information requested).
2. If the information is no longer required, please advise and we will withdraw the request.
3. If we do not hear from you by **10 December 2021**, we will provide information about the year the investigation began (together with the other information requested, excluding the industry/sector under investigation).
4. Please note that once we provide information about the year the investigation began, we will not be able to provide information about the industry/sector under investigation, as we consider that collectively this information would be likely to prejudice the maintenance of the law under section 6(c) of the Official Information Act 1982.

Additional information

5. We have become aware of seven additional Commerce Act investigations that should have been included within the scope of paragraph [1.1] of our letter dated 23 April 2021. These are listed below and contained in an updated **Attachment A**:

Year Investigation Began	Financial Year Decided	Industry/Sector	Commerce Act Provisions	Section 98s issued?	Outcome
2015	2020/2021	Refer to Appendix A	s27 and s30	Yes	Judgment
2017	2019/2020	Refer to Appendix A	s27 via s30	Yes	Judgment
2019	2019/2020	Refer to Appendix A	s36	Yes	NFA
2019	2019/2020	Refer to Appendix A	s27 and s30	No	NFA
2019	2019/2020	Refer to Appendix A	s27 and s30	No	NFA
2019	2020/2021	Refer to Appendix A	s27 and s30	Yes	Judgment
2020	2019/2020	Refer to Appendix A	s27 and s30	No	NFA

Further information

6. Please do not hesitate to contact us at oiia@comcom.govt.nz if you have any questions about this request.

Yours sincerely



Alexandra Murray

OIA and Information Coordinator

Appendix A: updated industry/sector information for investigations under sections 27, 30 and 36 of the Commerce Act 1986 during the relevant period¹	
Industry/Sector	Number of investigations
AA1 - Agriculture	4
CC1 - Food, Beverage and Tobacco Product Manufacturing	2
CC3 - Wood and Paper Products Manufacturing	1
CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	1
CC7 - Metal Product Manufacturing	1
CC8 – Transport Equipment, Machinery and Equipment Manufacturing	1
DD1 - Electricity, Gas, Water and Waste Services	5
EE1 - Building construction	3
GH1 - Retail trade	2
GH2 - Accommodation and Food Services	1
II1 - Transport, Postal and Warehousing	8
KK1 - Financial and insurance services	3
LL1 - Rental, Hiring and Real Estate Services	6
QQ1 - Health Care and Social Assistance	2
RS2 - Other Services	4

¹ We have used the New Zealand Standard Industry Output Categories (NZSIOC) for these investigations.

Year Investigation Began	Financial Year Decided	Industry/Sector	Commerce Act provisions	Section 98s Issued?	Outcome
2013	2015/2016	Refer to Appendix A	s 30	Yes	NFA
2013	2015/2016	Refer to Appendix A	s 30	Yes	Judgment (Following Settlement)
2014	2015/2016	Refer to Appendix A	s 30	Yes	Warning
2014	2015/2016	Refer to Appendix A	s 27	No	NFA
2014	2016/2017	Refer to Appendix A	s 30	Yes	Judgment (Following Settlement)
2015	2015/2016	CC2 - Textile, Leather, Clothing and Footwear Manufacturing	s 47	No	NFA
2015	2015/2016	EE1 - Construction	s47 - surveillance	No	NFA
2015	2015/2016	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2015	2015/2016	KK1 - Financial and insurance services	s47 - surveillance	No	NFA
2015	2015/2016	GH1 - Retail trade	s47 - surveillance	No	NFA
2015	2015/2016	QQ1 - Health Care and Social Assistance	s47 - surveillance	No	NFA
2015	2015/2016	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2015	2015/2016	CC1 - Food, Beverage and Tobacco Product Manufacturing	s47 - surveillance	No	NFA
2015	2020/2021	Refer to Appendix A	s27 and s30	Yes	Judgment
2015	2015/2016	Refer to Appendix A	s 30	No	NFA
2015	2015/2016	Refer to Appendix A	s 36	No	NFA
2016	2015/2016	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2016	2015/2016	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2016	2015/2016	QQ1 - Health Care and Social Assistance	s47 - surveillance	No	NFA
2016	2015/2016	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2016	2015/2016	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2016	2015/2016	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA

2016	2015/2016	BB1 - Mining	s47 - surveillance	No	NFA
2016	2015/2016	CC7 - Metal Product Manufacturing	s47 - surveillance	No	NFA
2016	2015/2016	CC7 - Metal Product Manufacturing	s47 - surveillance	No	NFA
2016	2015/2016	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2016	2015/2016	GH1 - Retail Trade	s47 - surveillance	No	NFA
2016	2015/2016	CC4 - Printing	s47 - surveillance	No	NFA
2016	2015/2016	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2016	2015/2016	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2016	2015/2016	CC9 - Furniture and Other Manufacturing	s47 - surveillance	No	NFA
2016	2015/2016	Refer to Appendix A	s 30	No	NFA
2016	2015/2016	Refer to Appendix A	s 36	No	NFA
2017	2016/2017	Professional services	s 47	Yes	NFA
2016	2016/2017	RS2 - Other Services	s 58	No	Authorised
2016	2016/2017	DD1 - Electricity, Gas, Water and Waste Services	s 58	No	Authorised
2016	2016/2017	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2016	2016/2017	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2016	2016/2017	GH1 - Retail trade	s47 - surveillance	No	NFA
2016	2016/2017	CC1 - Food, Beverage and Tobacco Product Manufacturing	s47 - surveillance	No	NFA
2016	2016/2017	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2016	2016/2017	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2016	2016/2017	PP1 - Education and Training	s47 - surveillance	No	NFA
2016	2016/2017	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2016	2016/2017	CC1 - Food, Beverage and Tobacco Product Manufacturing	s47 - surveillance	No	NFA
2016	2016/2017	CC5 - Petroleum, Chemical, Polymer and	s47 - surveillance	No	NFA

		Rubber Product Manufacturing			
2016	2016/2017	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2016	2016/2017	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2016	2016/2017	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2016	2016/2017	DD1 - Electricity, Gas, Water and Waste Services	s47 - surveillance	No	NFA
2016	2016/2017	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2017	2016/2017	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2017	2016/2017	KK1 - Financial and Insurance Services	s47 - surveillance	No	NFA
2017	2016/2017	EE1 - Construction	s47 - surveillance	No	NFA
2017	2016/2017	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2017	2016/2017	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2017	2016/2017	DD1 - Electricity, Gas, Water and Waste Services	s47 - surveillance	No	NFA
2017	2016/2017	Fleet leasing	s47 - surveillance	No	NFA
2015	2016/2017	Refer to Appendix A	s 36	No	Compliance Advice
2015	2016/2017	Refer to Appendix A	s 30	Yes	Judgment (Following Settlement)
2016	2016/2017	Refer to Appendix A	s 30	Yes	Compliance Advice
2016	2016/2017	Refer to Appendix A	s 30	No	Compliance Advice
2015	2016/2017	Refer to Appendix A	s 27, s 30	No	NFA
2016	2016/2017	Refer to Appendix A	s 27, s 30	No	Warning
2017	2017/2018	AA1 - Agriculture	s 47	No	NFA
2017	2017/2018	II1 - Transport, Postal and Warehousing	s 47	No	Litigation Discontinued
2017	2017/2018	GH1 - Retail Trade	s 47	Yes	Litigation Discontinued
2017	2017/2018	CC3 - Wood and Paper Products Manufacturing	s 47	No	NFA
2017	2017/2018	FF1 - Wholesale Trade	s 47	No	NFA
2017	2017/2018	Professional Services	s 47	No	NFA

2017	2017/2018	DD1 - Electricity, Gas, Water and Waste Services	s 27, s 47	No	Judgment
2017	2017/2018	AA1 - Agriculture	s 58	No	Authorised
2017	2017/2018	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2017	2017/2018	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2017	2017/2018	CC1 - Food, Beverage and Tobacco Product Manufacturing	s47 - surveillance	No	NFA
2017	2017/2018	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2017	2017/2018	AA1 - Agriculture	s47 - surveillance	No	NFA
2017	2017/2018	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2017	2017/2018	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2017	2017/2018	AA1 - Agriculture	s47 - surveillance	No	NFA
2017	2017/2018	AA1 - Agriculture	s47 - surveillance	No	NFA
2017	2017/2018	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2017	2017/2018	KK1 - Financial and Insurance Services	s47 - surveillance	No	NFA
2017	2017/2018	AA1 - Agriculture	s47 - surveillance	No	NFA
2017	2017/2018	LL1 - Rental, Hiring and Real Estate Services	s47 - surveillance	No	NFA
2017	2017/2018	EE1 - Construction	s47 - surveillance	No	NFA
2017	2017/2018	CC7 - Metal Product Manufacturing	s47 - surveillance	No	NFA
2017	2017/2018	CC8 - Transport Equipment, Machinery and Equipment Manufacturing	s47 - surveillance	No	NFA
2017	2017/2018	QQ1 - Health Care and Social Assistance	s47 - surveillance	No	NFA
2017	2017/2018	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2017	2017/2018	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2017	2017/2018	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA

2017	2017/2018	CC2 - Textile, Leather, Clothing and Footwear Manufacturing	s47 - surveillance	No	NFA
2017	2017/2018	KK1 - Financial and Insurance Services	s47 - surveillance	No	NFA
2017	2017/2018	KK1 - Financial and Insurance Services	s47 - surveillance	No	NFA
2017	2017/2018	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2017	2019/2020	Refer to Appendix A	s27 via s30	Yes	Judgment
2017	2018/2019	II1 - Transport, Postal and Warehousing	s 47	Yes	Settlement
2017	2019/2020	Refer to Appendix A	s 30	Yes	Warning
2017	2019/2020	Refer to Appendix A	s 30	No	Judgment
2017	2019/2020	Refer to Appendix A	s 30	Yes	Judgment
2018	2017/2018	GH1 - Retail Trade	s47 - surveillance	No	NFA
2018	2017/2018	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2018	2017/2018	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2018	2017/2018	FF1 - Wholesale Trade	s47 - surveillance	No	NFA
2018	2017/2018	AA1 - Agriculture	s47 - surveillance	No	NFA
2018	2017/2018	CC8 - Transport Equipment, Machinery and Equipment Manufacturing	s47 - surveillance	No	NFA
2018	2017/2018	CC2 - Textile, Leather, Clothing and Footwear Manufacturing	s47 - surveillance	No	NFA
2018	2017/2018	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2018	2017/2018	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2018	2017/2018	CC8 - Transport Equipment, Machinery and Equipment Manufacturing	s47 - surveillance	No	NFA
2018	2017/2018	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2017	2017/2018	Refer to Appendix A	s 30	No	NFA
2012	2017/2018	Refer to Appendix A	s 30	Yes	Judgment
2017	2017/2018	Refer to Appendix A	s 30	Yes	NFA
2017	2017/2018	Refer to Appendix A	s 30	Yes	NFA

2016	2017/2018	Refer to Appendix A	s 30	No	NFA
2016	2017/2018	Refer to Appendix A	s 30	Yes	Warning
2016	2017/2018	Refer to Appendix A	s 30	Yes	Warning
2016	2017/2018	Refer to Appendix A	s 30	Yes	NFA
2019	2018/2019	GH1 - Retail Trade	s 47	No	NFA
2018	2018/2019	Construction	s 47	No	NFA
2018	2018/2019	Agriculture, forestry, and fishing	s 47	No	NFA
2019	2018/2019	Manufacturing	s 47	No	NFA
2018	2018/2019	CC1 - Food, Beverage and Tobacco Product Manufacturing	s 58	No	Authorised
2018	2018/2019	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2018	2018/2019	QQ1 - Health Care and Social Assistance	s47 - surveillance	No	NFA
2018	2018/2019	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2018	2018/2019	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2018	2018/2019	DD1 - Electricity, Gas, Water and Waste Services	s47 - surveillance	No	NFA
2018	2018/2019	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2018	2018/2019	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2018	2018/2019	CC1 - Food, Beverage and Tobacco Product Manufacturing	s47 - surveillance	No	NFA
2018	2018/2019	DD1 - Electricity, Gas, Water and Waste Services	s47 - surveillance	No	NFA
2018	2018/2019	KK1 - Finance and Insurance Services	s47 - surveillance	No	NFA
2018	2018/2019	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2018	2018/2019	CC7 - Metal Product Manufacturing	s47 - surveillance	No	NFA
2018	2018/2019	AA1 - Agriculture	s47 - surveillance	No	NFA
2018	2018/2019	CC1 - Food, Beverage and Tobacco Product Manufacturing	s47 - surveillance	No	NFA
2018	2018/2019	CC7 - Metal Product Manufacturing	s47 - surveillance	No	NFA
2018	2018/2019	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA

2018	2018/2019	DD1 - Electricity, Gas, Water and Waste Services	s47 - surveillance	No	NFA
2019	2018/2019	PP1 - Education and Training	s47 - surveillance	No	NFA
2019	2018/2019	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2019	2018/2019	KK1 - Finance and Insurance Services	s47 - surveillance	No	NFA
2019	2018/2019	KK1 - Finance and Insurance Services	s47 - surveillance	No	NFA
2019	2018/2019	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2019	2018/2019	EE1 - Construction	s47 - surveillance	No	NFA
2019	2018/2019	CC1 - Food, Beverage and Tobacco Product Manufacturing	s47 - surveillance	No	NFA
2019	2018/2019	DD1 - Electricity, Gas, Water and Waste Services	s47 - surveillance	No	NFA
2018	2018/2019	Refer to Appendix A	s 36	No	NFA
2018	2018/2019	Refer to Appendix A	s 27	No	NFA
2018	2018/2019	Refer to Appendix A	s 30	Yes	Warning
2018	2018/2019	Refer to Appendix A	s 30	Yes	NFA
2018	2018/2019	Refer to Appendix A	s 30	No	NFA
2018	2018/2019	Refer to Appendix A	s 27, s 30	No	NFA
2018	2018/2019	Refer to Appendix A	s 30	Yes	NFA
2018	2018/2019	Refer to Appendix A	s 27, s 36	no	NFA
2018	2019/2020	QQ1 - Health Care and Social Assistance	s 47	No	NFA
2019	2019/2020	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2019	2019/2020	DD1 - Electricity, Gas, Water and Waste Services	s47 - surveillance	No	NFA
2019	2019/2020	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2019	2019/2020	KK1 - Finance and Insurance Services	s47 - surveillance	No	NFA
2019	2019/2020	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2019	2019/2020	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2019	2019/2020	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA

		Rubber Product Manufacturing			
2019	2019/2020	CC9 - Furniture and Other Manufacturing	s47 - surveillance	No	NFA
2019	2019/2020	GH1 - Retail Trade	s47 - surveillance	No	NFA
2019	2019/2020	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2019	2019/2020	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2019	2019/2020	CC9 - Furniture and Other Manufacturing	s47 - surveillance	No	NFA
2019	2019/2020	CC7 - Metal Product Manufacturing	s47 - surveillance	No	NFA
2019	2019/2020	RS1 - Arts and Recreation Services	s47 - surveillance	No	NFA
2019	2019/2020	EE1 - Construction	s47 - surveillance	No	NFA
2019	2019/2020	RS1 - Arts and Recreation Services	s47 - surveillance	No	NFA
2019	2019/2020	CC1 - Food, Beverage and Tobacco Product Manufacturing	s47 - surveillance	No	NFA
2019	2019/2020	KK1 - Finance and Insurance Services	s47 - surveillance	No	NFA
2019	2019/2020	Refer to Appendix A	s36	Yes	NFA
2019	2019/2020	Refer to Appendix A	s27 and s30	No	NFA
2019	2019/2020	Refer to Appendix A	s27 and s30	No	NFA
2019	2020/2021	Refer to Appendix A	s27 and s30	Yes	Judgment
2019	2020/2021	JJ1 - Information Media and Telecommunications	s47 - surveillance	No	NFA
2019	2019/2020	Refer to Appendix A	s 27, s 30	No	NFA
2020	2019/2020	CC5 - Petroleum, Chemical, Polymer and Rubber Product Manufacturing	s47 - surveillance	No	NFA
2020	2019/2020	QQ1 - Health Care and Social Assistance	s47 - surveillance	No	NFA
2020	2019/2020	CC1 - Food, Beverage and Tobacco Product Manufacturing	s47 - surveillance	No	NFA
2020	2019/2020	RS1 - Arts and Recreation Services	s47 - surveillance	No	NFA
2020	2019/2020	EE1 - Construction	s47 - surveillance	No	NFA
2020	2019/2020	FF1 - Wholesale Trade	s47 - surveillance	No	NFA
2020	2019/2020	QQ1 - Health Care and Social Assistance	s47 - surveillance	No	NFA
2020	2019/2020	Refer to Appendix A	s 30	No	NFA

2020	2020/2021	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2020	2020/2021	QQ1 - Health Care and Social Assistance	s47 - surveillance	No	NFA
2020	2020/2021	CC8 - Transport Equipment, Machinery and Equipment Manufacturing	s47 - surveillance	No	NFA
2020	2020/2021	KK1 - Finance and Insurance Services	s47 - surveillance	No	NFA
2020	2020/2021	QQ1 - Health Care and Social Assistance	s47 - surveillance	No	NFA
2020	2020/2021	DD1 - Electricity, Gas, Water and Waste Services	s47 - surveillance	No	NFA
2020	2020/2021	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2020	2020/2021	KK1 - Finance and Insurance Services	s47 - surveillance	No	NFA
2020	2020/2021	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2020	2020/2021	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA
2020	2020/2021	MN1 - Professional, Scientific and Technical Services	s47 - surveillance	No	NFA
2020	2020/2021	RS1 - Arts and Recreation Services	s47 - surveillance	No	NFA
2020	2020/2021	EE1 - Construction	s47 - surveillance	No	NFA
2020	2020/2021	RS1 - Arts and recreation services	s47 - surveillance	No	NFA
2020	2020/2021	EE1 - Construction	s47 - surveillance	No	NFA
2020	2020/2021	Refer to Appendix A	s 27, s 30	No	NFA
2020	2019/2020	Refer to Appendix A	s27 and s30	No	NFA
2021	2020/2021	QQ1 - Health care and Social Assistance	s47 - surveillance	No	NFA
2021	2020/2021	BB1 - Mining	s47 - surveillance	No	NFA
2021	2020/2021	II1 - Transport, Postal and Warehousing	s47 - surveillance	No	NFA